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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/840,235	04/24/2001	Penny G. Warren	82,413

CONFIRMATION NO. 3487

26384
NAVAL RESEARCH LABORATORY
ASSOCIATE COUNSEL (PATENTS)
CODE 1008.2
4555 OVERLOOK AVENUE, S.W.
WASHINGTON, DC 20375-5320

FORMALITIES LETTER



OC000000006214233

Date Mailed: 06/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Melvin R. Kruer
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

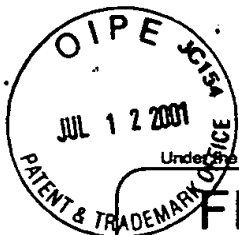
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/26/2001 SSANDARA 00000007 500201 09848235

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130.00 CH



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PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are *subject* to annual revision.

TOTAL AMOUNT OF PAYMENT

\$130.00

Complete if Known

Application Number 09/840,235

Filing Date April 24, 2000

First Named Inventor Warren

Examiner Name

Group Art Unit

Attorney Docket No. N.C.82,413

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0281

Deposit Account Name Naval Research Laboratory

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$)

101 710 201 355 Utility filing fee

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims -20- = X =
Independent Claims -3- = X =
Multiple Dependent =

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 * Reissue independent claims over original patent

110 18 210 9 Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
		105 130 205 65		Surcharge - late filing fee or oath	130.00
		127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
		139 130 139 130		Non-English specification	
		147 2,520 147 2,520		For filing a request for ex parte reexamination	
		112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
		113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
		115 110 215 55		Extension for reply within first month	
		116 390 216 195		Extension for reply within second month	
		117 890 217 445		Extension for reply within third month	
		118 1,380 218 695		Extension for reply within fourth month	
		128 1,890 228 945		Extension for reply within fifth month	
		119 310 219 155		Notice of Appeal	
		120 310 220 155		Filing a brief in support of an appeal	
		121 270 221 135		Request for oral hearing	
		138 1,510 138 1,510		Petition to institute a public use proceeding	
		140 110 240 55		Petition to revive - unavoidable	
		141 1,240 241 620		Petition to revive - unintentional	
		142 1,240 242 620		Utility issue fee (or reissue)	
		143 440 243 220		Design issue fee	
		144 600 244 300		Plant issue fee	
		122 130 122 130		Petitions to the Commissioner	
		123 50 123 50		Processing fee under 37 CFR 1.17(c)	
		126 180 126 180		Submission of Information Disclosure Stmt	
		581 40 581 40		Recording each patent assignment per property (times number of properties)	
		146 710 246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355		For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355		Request for Continued Examination (RCE)	
		169 900 169 900		Request for expedited examination of a design application	
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					130.00

SUBMITTED BY

Name (Print/Type) John J. Karasek

Registration No. (Attorney/Agent) 36,182

Complete if applicable

Telephone 202-404-1552

Signature

Date

7/5/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.